

EXHIBIT M

Pandia

RYAN KIBILOSKI (sign out)
 Current Book of Business: [All Books](#)

[\[x\]](#) > Client Detail

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REDACTED

Status: Active

(46)
 (0)

(1)

PROVIDER/ACCOUNT NUMBER

ACCOUNTLINKS

MARKET VALUE

NFS

REDACTED

TRANSACTIONS - POSITIONS

\$298,110.69

TRANSACTIONS - POSITIONS

\$98,756.66

TOTAL

\$396,867.35

GRAND TOTAL

\$396,867.35

Pandia

RYAN KIBILOSKI ([sign out](#))
 Current Book of Business: [All Books](#)
 > CLIENT FOCUS > CLIENT SEARCH

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**1 CLIENT FOUND WHERE CLIENT FIRST
 NAME STARTS WITH NI AND CLIENT LAST
 NAME STARTS WITH**

• [ADD TO MY FAVORITES](#)

<u>LAST NAME</u> ▲	<u>FIRST NAME</u>	<u>CREATED DATE</u>	<u>CLIENT ADDRESS</u>	<u>BANK</u>	<u>BRANCH NAME</u>	<u>SERVICING BANK</u>	<u>SERVICING BRANCH CODE</u>	<u>SERVICING BRANCH NAME</u>	<u>FCNAME</u>	<u>CLIENT BALANCE</u>
280	SOUTH	96	235	POINT				NAPERVILLE DOWNTOWN (235)	KIBILOSKI, RYAN (A22)	\$396,867.35

REDACTED

Pandia

RYAN KIBILOSKI ([sign out](#))
Current Book of Business: [All Books](#)
[P] > CLIENT FOCUS > CLIENT SEARCH

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CREATE CLIENT LIST WHERE...

SSN/TAXID is equal to

OR

CLIENT NAME starts with FIRST NAME
LAST NAME

OR

MY FAVORITE CLIENTS
MOST RECENT SEARCHES

EXHIBIT N

Pandia

DAVID SWANSON (sign out)
 Current Book of Business: DAVID SWANSON (KT8)

> Client Detail

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REDACTED

Status: Active

 (37) (6)

LaSalle Financial Services Client Setup	
SOCIAL SECURITY #	HOME BRANCH MAPERVILLE (126)
HOME PHONE	SERVICING BRANCH MAPERVILLE (126)
WORK PHONE	SERVICING FC DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT <i>2 years 3 months</i>
AGE	PREVIOUS FC
PROFILE NAME	Last Modified: August 21, 2006
Main Scenario - 8/22/2006	Account Title:
PROFILE PERSON ID	OCCUPATION
MARITAL STATUS	EMPLOYEE
APPROX ANNUAL INCOME	FEDERAL TAX BRACKET
ESTIMATED NET WORTH	EST. LIQUID NET WORTH
RISK TOLERANCE	OBJECTIVE 1
TIME HORIZON	OBJECTIVE 2

REDACTED[SHOW CLOSED ACCOUNT\(S\)](#)

PROVIDER/ACCOUNT NUMBER	ACCOUNT LINES	MARKET VALUE
<input checked="" type="checkbox"/> HARTFORD LIFE INSURANCE	TRANSACTIONS - POSITIONS	\$51,372.42
TOTAL REDACTED		\$51,372.42
<input checked="" type="checkbox"/> JOHN HANCOCK LIFE INSURANCE	TRANSACTIONS - POSITIONS	\$19,341.39
TOTAL REDACTED		\$19,341.39
<input checked="" type="checkbox"/> NATIONWIDE LIFE INSURANCE	TRANSACTIONS - POSITIONS	\$21,602.11
TOTAL REDACTED		\$21,602.11
<input checked="" type="checkbox"/> NFS	TRANSACTIONS - POSITIONS	\$103,162.22
TOTAL REDACTED		\$103,162.22
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)		\$0.00
TOTAL REDACTED		\$0.00
GRAND TOTAL		\$195,475.15

Pandia

DAVID SWANSON (sign out)
Current Book of Business: DAVID SWANSON (XTS)

> Client Detail

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- [help](#)
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REDACTED

LaSalle Financial Services Client Screen

SOCIAL SECURITY #	HOME BRANCH	MAPEEVILLE (126)
HOME PHONE	SERVICING BRANCH	MAPEEVILLE (126)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	3 years 10 months
AGE	PREVIOUS FC	STEPHEN JOHNSON
PROFILE NAME:	Last Modified:	November 13, 2007
PROFILE PERSON ID	Account Title:	
MARITAL STATUS	OCCUPATION	
APPROX. ANNUAL INCOME	EMPLOYER	
ESTIMATED NET WORTH	FEDERAL TAX BRACKET	
RISK TOLERANCE	EST. LIQUID NET WORTH	
TIME HORIZON	OBJECTIVE 1	
	OBJECTIVE 2	

REDACTED

SHOW CLOSED ACCOUNT(S)

PROVIDER/ACCOUNT NUMBER	ACCOUNT TYPE	MARKET VALUE
NFS	TRANSACTIONS - POSITION	\$75,921.05
TNC25		\$75,921.05
TOTAL		\$0.00
		\$0.00
CLOSED ACCOUNT(S)		
TOTAL		\$0.00
GRAND TOTAL		\$75,921.05

Pandia

DAVID SWANSON (sign out)

Current Book of Business: DAVID SWANSON (KT8)

> Client Detail

Home Delivery List

- client focus
- documents
- report suite

- help
- print

REDACTED

LusSis Financial Services Client Since: May 17, 2006

SOCIAL SECURITY #	HOME BRANCH	HARPERVILLE (126)
HOME PHONE	SERVICING BRANCH	HARPERVILLE (126)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	1 year 7 months
AGE	PREVIOUS FC	
PROFILE NAME:	Last Modified:	November 9, 2007
Main Scenario - 11/9/2007	Account Title:	
PROFILE PERSON ID	OCCUPATION	
129569-87973	EMPLOYER	
MARITAL STATUS	FEDERAL TAX BRACKET	
APPROX ANNUAL INCOME	EST. LIQUID NET WORTH	
ESTIMATED NET WORTH	OBJECTIVE 1	
RISK TOLERANCE	OBJECTIVE 2	
TIME HORIZON		

(42) (0)

REDACTED

PROVIDER/ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
NFS	TRANSACTIONS - POSITIONS	\$501,211.24
	TRANSACTIONS - POSITIONS	\$206,416.80
	TRANSACTIONS - POSITIONS	\$301,323.43
TOTAL		\$918,951.49
GRAND TOTAL		\$918,951.49

REDACTED

Pandia

DAVID SWANSON (sign out)
 Current Book of Business: DAVID SWANSON (KTS)

> Client Detail

- Home Delivery News

- client focus
- documents
- report date
- help
- print

REDACTED

LaSalle Financial Services Client Service

SOCIAL SECURITY #	HOME BRANCH	HAFERVILLE (125)
HOME PHONE	SERVICING BRANCH	HAFERVILLE (126)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	1 year 2 months
AGE	PREVIOUS FC	BARBARA WAGNER
PROFILE NAME:	Last Modified	September 26, 2006
Account Title:		
PROFILE-PERSON ID:	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

SHOW CLOSED ACCOUNT(S)

PROVIDER/ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
<input checked="" type="checkbox"/> GENWORTH FINANCIAL	TRANSACTIONS - POSITIONS	\$256,942.16
TOTAL		\$256,942.16
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$256,942.16

REDACTED**REDACTED**

Pandia

DAVID SWANSON (sign out)

Current Book of Business: DAVID SWANSON (KTB)

> Client Detail

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REDACTED

Status: Active

(x) (e)

LPSafe Financial Services Client Detail March 24, 2005

SOCIAL SECURITY #	HOME BRANCH	NAPERVILLE (126)
HOME PHONE	SERVICING BRANCH	NAPERVILLE (126)
WORK PHONE	SERVICING PC	DAVID SWANSON
BIRTH DATE	PC ASSIGNED TO CLIENT	2 years 9 months
AGE	PREVIOUS PC	
PROFILE NAME:		Last Modified: May 2, 2005 Account Title:
PROFILE PERSON ID	OCCUPATION	
MAITLAL STATUS	EMPLOYER	
APROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

PROVIDER/ACCOUNT NUMBER	ACCOUNT LINES	MARKET VALUE
<input type="checkbox"/> NFS		
	TRANSACTIONS - POSITIONS	\$78,547.85
TOTAL		\$78,547.85
GRAND TOTAL		\$78,547.85

REDACTED

Pandia

DAVID SWANSON (sign out)

Current Book of Business: DAVID SWANSON (KTS)

> Client Detail

 [View Profile](#)

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- [documents](#)
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- [print](#)

REDACTED

LaSalle Financial Services Client Data			
TAX ID NUMBER	HOME BRANCH	HINSDALE-YORK RD (203)	
HOME PHONE	SERVICING BRANCH	NAPERVILLE (126)	
WORK PHONE	SERVICING FC	DAVID SWANSON	
BIRTH DATE	FC ASSIGNED TO CLIENT	NA (21 Years 5 months 8 days)	
AGE	PREVIOUS FC		
PROFILE NAME	Last Modified	September 27, 2007	
Louise Gehlmann Reti - 9/27/2007	Account Title		
PROFILE PERSON ID	OCCUPATION		
MARITAL STATUS	EMPLOYER		
APEXZ. ANNUAL INCOME	FEDERAL TAX BRACKET		
ESTIMATED NET WORTH	EST. LIQUID NET WORTH		
RISK TOLERANCE	OBJECTIVE 1		
TIME HORIZON	OBJECTIVE 2		

REDACTED

SHOW CLOSED ACCOUNT(S)		
PROVIDER / ACCOUNT NUMBER	ACCOUNT LISTS	MARGIN VALUE
<input checked="" type="checkbox"/> HARTFORD LIFE INSURANCE	TRANSACTIONS - POSITIONS	\$20,152.02
TOTAL		\$20,152.02
<input checked="" type="checkbox"/> JACKSON NATIONAL LIFE	TRANSACTIONS - POSITIONS	\$27,772.78
TOTAL		\$27,772.78
<input checked="" type="checkbox"/> NFS	TRANSACTIONS - POSITIONS	\$1,189,232.88
	TRANSACTIONS - POSITIONS	\$80,592.46
TOTAL		\$1,269,825.34
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$1,417,800.15

REDACTED

Pandia

DAVID SWANSON (sign out)

Current Book of Business: DAVID SWANSON (KTB)

> Client Detail

- Home Delivery Links

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- [documents](#)
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REDACTED

LaSalle Financial Services Client Service

SOCIAL SECURITY #	HOME BRANCH	HINSDALE-TORK RD (203)
HOME PHONE	SERVICING BRANCH	NAUERVILLE (126)
WORK PHONE	SERVICING PC	DAVID SWANSON
ERTE DATE	PC ASSIGNED TO CLIENT /NA (At Least 6 years & months)	
AGE	PREVIOUS PC	
PROFILE NAME:	Last Modified:	May 15, 2007
Main Scenario - 5/15/2007	Account Title:	
PROFILE-PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

Provider/Account Number	Account Level	Market Value
NFS	TRANSACTIONS - POSITIONS	\$109,126.71
TOTAL		\$109,126.71
CLOSED ACCOUNT(S)		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$109,126.71

REDACTED

Pandia

DAVID SWANSON (sign out)

Current Book of Business: DAVID SWANSON (KTS)

> Client Detail

 [10-day deficiency items](#) [Client navigation](#) [client focus](#) [documents](#) [report suite](#) [help](#) [print](#)**REDACTED**

Lafayette Financial Services Client Share April 19, 2007

SOCIAL SECURITY #	HOME BRANCH	MAPEVILLE DOWNTOWN (235)
HOME PHONE	SERVICING BRANCH	MAPEVILLE DOWNTOWN (235)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	PC ASSIGNED TO CLIENT	8 months
AGE	PREVIOUS FC	
PROFILE NAME	Last Modified	April 30, 2007
Main Scenario - 4/20/2007	Account Title	
PROFILE-PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED**REDACTED**

PROVIDER / ACCOUNT NUMBER	ACCOUNT LINES	MARKET VALUE
<input checked="" type="checkbox"/> NPS	TRANSACTIONS - POSITIONS	\$29,805.77
TOTAL		\$29,805.77
GRAND TOTAL		\$29,805.77

REDACTED

Pandia

DAVID SWANSON (sign out)
 Current Book of Business: DAVID SWANSON (KTS)

> Client Detail

- Home Delivery List
- whole navigation

- client focus
- documents
- report suite
- help
- print

REDACTED

Status: Active
 (1)
 (0)

LaSalle Financial Services Client Data		
SOCIAL SECURITY #	HOME BRANCH	
HOME PHONE	SERVICING BRANCH	
WORK PHONE	SERVICING FC	
BIRTH DATE	FC ASSIGNED TO CLIENT	
AGE	PREVIOUS FC	
PROFILE NAME	Last Modified: June 11, 2007 Account Title:	
Main Scenario - 6/11/2007		
PROFILE-PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APROX. ANNUAL INCOME	FEDERAL TAX BRACKET	0
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	\$0.00
RISK TOLERANCE	OBJECTIVE 1	n/a
TIME HORIZON	OBJECTIVE 2	n/a

REDACTED

PROVIDER/ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
<input checked="" type="checkbox"/> NFS		
TOTAL	TRANSACTIONS - POSITIONS	\$482,981.96
GRAND TOTAL		\$482,981.96

REDACTED

Pandia

DAVID SWANSON (sign out)
Current Book of Business: DAVID SWANSON (KT8)

- 1. Open [Policy 1](#) and [white navigation](#)
 - [client focus](#)
 - [documents](#)
 - [report suite](#)
 - [help](#)
 - [print](#)

REDACTED

Status: Active

* (27)

25

LaSalle Financial Services Client Sheet			
SOCIAL SECURITY #	HOME BRANCH	PLAINFIELD (211)	
HOME PHONE	SERVICING BRANCH	PLAINFIELD (221)	
WORK PHONE	SERVICING PC	DAVID SWANSON	
BIRTH DATE	PC ASSIGNED TO CLIENT	4 months	
AGE	PREVIOUS PC	SERVICE BY LBE ONLY	
PROFILE NAME:	Last Modified: April 23, 2007		
Main Scenario - 4/23/2007	Account Title:		
PROFILE PERSON ID	OCCUPATION		
MARITAL STATUS	EMPLOYER		
PROX. ANNUAL INCOME	FEDERAL TAX BRACKET		
ESTIMATED NET WORTH	EST. LIQUID NET WORTH		
RISK TOLERANCE	OBJECTIVE 1		
TIME HORIZON	OBJECTIVE 2		

REDACTED

REDACTED

PROVIDER / ACCOUNT NUMBER	ACCOUNT LINES	MAX/EXT VALUE
<input checked="" type="checkbox"/> HARTFORD LIFE INSURANCE	TRANSACTIONS - POSITIONS	\$260,712.6
TOTAL		\$260,712.6
<input checked="" type="checkbox"/> NFS	TRANSACTIONS - POSITIONS	\$114,871.0
TOTAL		\$114,871.0
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)		\$0.0
TOTAL		\$0.0
GRAND TOTAL:		\$375,583.6

Pandia

DAVID SWANSON (sign out)
Current Book of Business: DAVID SWANSON (KTB)

> Client Detail

- Open Delivery Items

- client focus
- documents
- report suite
- help
- print

REDACTED

Status: Active

 (1) (1)

InSight Financial Services Client Setup

SOCIAL SECURITY #	HOME BRANCH	LICENSING (136)
HOME PHONE	SERVICING BRANCH	NAERVILLE (126)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	Years 6 months
AGE	PREVIOUS FC	DENNIS FOLEY
PROFILE NAME:	Last Modified:	April 22, 2005
Jim Annuity - 4/22/2005	Account Title:	
PROFILE PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APROX ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

SHOW CLOSED ACCOUNT(S)

PROVIDER / ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
<input checked="" type="checkbox"/> JACKSON NATIONAL LIFE		
	TRANSACTIONS - POSITIONS	\$158,799.19
TOTAL		\$158,799.19
<input checked="" type="checkbox"/> NFS		
	TRANSACTIONS - POSITIONS	\$25,367.73
TOTAL		\$25,367.73
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)		
		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$184,166.92

REDACTED

Pandia

DAVID SWANSON (sign out)
Current Book of Business: DAVID SWANSON (KT8)

> Client Detail

[Help](#) [Preference Setup](#)

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REDACTED

Locality Financial Services Client Setup										
SOCIAL SECURITY #	HOME BRANCH									
HOME PHONE	SERVICING BRANCH									
WORK PHONE	SERVICING FC									
BIRTH DATE	FC ASSIGNED TO CLIENT									
AGE	PREVIOUS FC									
PROFILE NAME	Last Modified									
Main Scenario - 7/17/2007	July 17, 2007									
PROFILE PERSON ID	ACCOUNT TITLE									
MARITAL STATUS	OCCUPATION									
APPROX. ANNUAL INCOME	EMPLOYER									
ESTIMATED NET WORTH	FEDERAL TAX BRACKET									
RISK TOLERANCE	EST. LIQUID NET WORTH									
TIME HORIZON	OBJECTIVE 1									
	OBJECTIVE 2									
<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>										
PROVIDER / ACCOUNT NUMBER ACCOUNT LINES MARKET VALUE										
<input type="checkbox"/> NFS		<table border="1"> <tr> <td>TRANSACTIONS - POSITIONS</td> <td>\$292,240.18</td> </tr> <tr> <td>TRANSACTIONS - POSITIONS</td> <td>\$4,286.44</td> </tr> <tr> <td>TOTAL</td> <td>\$296,526.62</td> </tr> <tr> <td>GRAND TOTAL</td> <td>\$296,526.62</td> </tr> </table>	TRANSACTIONS - POSITIONS	\$292,240.18	TRANSACTIONS - POSITIONS	\$4,286.44	TOTAL	\$296,526.62	GRAND TOTAL	\$296,526.62
TRANSACTIONS - POSITIONS	\$292,240.18									
TRANSACTIONS - POSITIONS	\$4,286.44									
TOTAL	\$296,526.62									
GRAND TOTAL	\$296,526.62									
		<input type="checkbox"/>								

REDACTED

REDACTED

Pandia

DAVID SWANSON (sign out)

Current Book of Business: DAVID SWANSON (KTB)

> Client Detail

 14 Day Delivery Date Print Information

- client focus
- documents
- report suite

- help
- print

REDACTED

Intellis Financial Services Client Screen [X]

June 7, 2007

SOCIAL SECURITY #	HOME BRANCH	NAPERVILLE DOWNTOWN (235)
HOME PHONE	SERVICING BRANCH	NAPERVILLE DOWNTOWN (235)
WORK PHONE	SERVICING PC	DAVID SWANSON
BIRTH DATE	PC ASSIGNED TO CLIENT	6 months
AGE	PREVIOUS PC	
PROFILE NAME:		Last Modified: June 7, 2007 Account Taken:
UNTITLED PROFILE - 6/7/2007		
PROFILE PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

PROVIDER/ACCOUNT NUMBER	ACCOUNT LINES	MARKET VALUE
<input checked="" type="checkbox"/> NWS	TRANSACTIONS - POSITIONS	\$292,616.24
TOTAL		\$292,616.24
GRAND TOTAL		\$292,616.24

[X]

REDACTED

Pandia

DAVID SWANSON (sign out)
Current Book of Business: DAVID SWANSON (KT3)

> Client Detail

14-Drop Down Navigation

- client focus
- documents
- report suite
- help
- print

REDACTED

Status: Active
 (2)
 (0)

LaSalle Financial Services Client Space March 24, 2006

SOCIAL SECURITY #	HOME BRANCH	NAPERVILLE SOUTH (210)
HOME PHONE	SERVICING BRANCH	NAPERVILLE SOUTH (210)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	1 year 9 months
AGE	PREVIOUS FC	
PROFILE NAME:		Last Modified: April 15, 2006
Main Scenario - 4/15/2006		Account Title:
PROFILE-PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

NFS

PROVIDER/ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
TOTAL	TRANSACTIONS - POSITIONS	\$591,186.33
GRAND TOTAL		\$591,186.33

REDACTED

REDACTED

Pandia

DAVID SWANSON (sign out)

Current Book of Business: DAVID SWANSON (KT3)

> Client Detail

 [Logout](#)

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REDACTED

LaSalle Financial Services Client Since

SOCIAL SECURITY #	HOME BRANCH	HINSDALE-YORK RD (203)
HOME PHONE	SERVICING BRANCH	NA
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	NA (At Least 6 years 8 months)
AGE	PREVIOUS FC	
PROFILE NAME:		Last Modified: December 1, 2003 Account Title:
UNTITLED PROFILE - 12/1/2003		
PROFILE PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

PROVIDER/ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
<input checked="" type="checkbox"/> JACKSON NATIONAL LIFE		
	TRANSACTIONS - POSITIONS	\$85,674.69
TOTAL		\$85,674.69
GRAND TOTAL		\$85,674.69

REDACTED

Pandia

DAVID SWANSON (sign out)
Current Book of Business: DAVID SWANSON (KT8)

> Client Detail

[View Details](#)

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REDACTED

Status: Active

(2)

(0)

Locality Financial Services Client Since	
SOCIAL SECURITY #	HOME BRANCH NAPERVILLE (126)
HOME PHONE	SERVICING BRANCH NAPERVILLE (126)
WORK PHONE	SERVICING PC DAVID SWANSON
BIRTH DATE	PC ASSIGNED TO CLIENT 2 years 10 months
AGE	PREVIOUS PC STEPHEN JOHNSON
PROFILE NAME:	Last Modified March 9, 2005
Main Scenario - 3/9/2005	Account Title
PROFILE-PERSON ID	OCCUPATION
MARITAL STATUS	EMPLOYER
APPROX ANNUAL INCOME	FEDERAL TAX BRACKET
ESTIMATED NET WORTH	EST. LIQUID NET WORTH
RISK TOLERANCE	OBJECTIVE 1
TIME HORIZON	OBJECTIVE 2

(2)

PROVIDER/ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
NFS	TRANSACTIONS - POSITIONS	\$138,427.74
TOTAL		\$138,427.74
GRAND TOTAL		\$138,427.74

REDACTED

REDACTED

Pandia

DAVID SWANSON (sign out)
Current Book of Business: DAVID SWANSON (KT8)

> Client Detail

- [Home](#)
- [Policy View](#)
- [Client Navigation](#)

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- [print](#)

REDACTED

Status: Active

(28) (9)

Locate Financial Services Client Specs

SOCIAL SECURITY #	HOME BRANCH	LAGEANGE (136)
HOME PHONE	SERVICING BRANCH	NAPERVILLE (126)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	NA (At Least 0 years 6 months)
AGE	PREVIOUS FC	
PROFILE NAME:	Last Modified: April 14, 2006	
Main Scenario - 4/14/2006	Account Title:	
PROFILE-PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	
	OBJECTIVE 3	

REDACTED

SHOW CLOSED ACCOUNTS

PROVIDER/ACCOUNT NUMBER	ACCOUNT LINES	MARKET VALUE
<input checked="" type="checkbox"/> JOHN HANCOCK VENTURE ANNUITIES	TRANSACTIONS - POSITIONS	\$56,693.49
TOTAL		\$56,693.49
<input checked="" type="checkbox"/> NATIONWIDE LIFE INSURANCE	TRANSACTIONS - POSITIONS	\$93,533.96
TOTAL		\$93,533.96
<input checked="" type="checkbox"/> NFS	TRANSACTIONS - POSITIONS	\$134,099.67
	TRANSACTIONS - POSITIONS	\$9,851.35
TOTAL		\$133,851.02
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$344,133.49

REDACTED

Pandia

DAVID SWANSON (sign out)
 Current Book of Business: DAVID SWANSON (KTS)

> Client Detail

[View Portfolio Report](#)

- [client focus](#)
- [documents](#)
- [report suite](#)
- [help](#)
- [print](#)

REDACTED

LaSalle Financial Services Client Detail

SOCIAL SECURITY #	HOME BRANCH	PALOS HILLS (120)
HOME PHONE	SERVICING BRANCH	NAPERVILLE (126)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	9 months
AGE	PREVIOUS FC	JONATHAN DAWSON
PROFILE NAME:	Last Modified: September 27, 2007	
Main Scenario - 9/27/2007	Account Title:	
PROFILE-PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

[SHOW CLOSED ACCOUNT\(S\)](#)

REDACTED

PROVIDER/ACCOUNT NUMBER	ACCOUNT LEVEL	MARKET VALUE
<input checked="" type="checkbox"/> GENWORTH FINANCIAL	TRANSACTIONS - POSITIONS	\$13,988.72
TOTAL		\$13,988.72
<input checked="" type="checkbox"/> NFS	TRANSACTIONS - POSITIONS	\$107,001.99
TOTAL		\$107,001.99
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$119,990.00

REDACTED

Pandia

DAVID SWANSON (sign out)
Current Book of Business: DAVID SWANSON (KT8)

> Client Detail

- Home Office Setup
- Client navigation
- Client focus
- Documents
- Report Suite
- Help
- Print

REDACTED

Status: Active

- (11)
 (0)

Profile		Profile Services		Client Data	
SOCIAL SECURITY #		HOME BRANCH	NAPERVILLE (126)		
HOME PHONE		SERVICING BRANCH	NAPERVILLE (126)		
WORK PHONE		SERVICING FC	DAVID SWANSON		
BIRTH DATE		FC ASSIGNED TO CLIENT	1 year		
AGE		PREVIOUS FC	SERVICE BY LINE ONLY		
PROFILE NAME:		Last Modified: July 25, 2006 Account Title:			
UNTITLED PROFILE - 7/25/2006					
PROFILE-PERSON ID		OCCUPATION			
MARITAL STATUS		EMPLOYER			
APEX: ANNUAL INCOME		FEDERAL TAX BRACKET			
ESTIMATED NET WORTH		EST. LIQUID NET WORTH			
RISK TOLERANCE		OBJECTIVE 1			
TIME HORIZON		OBJECTIVE 2			

REDACTED

Provider/Account Number	Account Links	Market Value
<input checked="" type="checkbox"/> JOHN HANCOCK LIFE INSURANCE	TRANSACTIONS - POSITIONS	\$6,506.88
TOTAL		\$6,506.88
<input checked="" type="checkbox"/> NFS	TRANSACTIONS - POSITIONS	\$3,904.69
TOTAL		\$3,904.69
GRAND TOTAL		\$10,411.57

REDACTED

Pandia

DAVID SWANSON (sign out)
 Current Book of Business: DAVID SWANSON (KT8)
 > CLIENT FOCUS > CLIENT SEARCH

- show hide navigation
- client focus
- documents
- report suite
- help
- print

230 CLIENTS FOUND WHERE ASSET VALUE
 IS GREATER THAN \$25,000.00

• [ADD TO MY FAVORITES](#)

LAST NAME	FIRST NAME	CREATED DATE	CLIENT ADDRESS	BANK	BRANCH NAME	SERVICING BANK	SERVICING BRANCH CODE	SERVICING BRANCH NAME	CLIENT BALANCE
				96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$25,140.83
				96	NAPERVILLE	96	126	NAPERVILLE (126)	\$51,692.42
				96	NAPERVILLE	96	126	NAPERVILLE (126)	\$112,503.14
				96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$192,830.21
				96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$60,131.24
				96	WILLOWBROOK	96	126	NAPERVILLE (126)	\$130,231.96
				96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$68,267.55
				96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$36,307.32
				96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$231,488.12
				96	NAPERVILLE	96	126	NAPERVILLE (126)	\$101,008.17
REDACTED				96	NAPERVILLE	96	126	NAPERVILLE (126)	\$32,677.19
				96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$31,269.59
				96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$30,997.58
				96	LAGRANGE	96	126	NAPERVILLE (126)	\$100,495.01
				96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$40,628.69
				96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$114,072.94
				96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$113,892.52
				96	NAPERVILLE	96	126	NAPERVILLE (126)	\$59,261.97
				96	NORRIDGE	96	126	NAPERVILLE (126)	\$147,912.86
				96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$335,670.40
				96	NAPERVILLE	96	126	NAPERVILLE (126)	\$310,501.78
				96	NAPERVILLE DOWNTOWN	96	235	NAPERVILLE DOWNTOWN (235)	\$81,998.70
				96	NAPERVILLE	96	126	NAPERVILLE (126)	\$281,421.85

90	NAPERVILLE	90	120	NAPERVILLE (120)	\$30,710.00
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$32,698.06
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$52,189.20
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$27,891.29
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$591,186.33
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$45,888.64
96	SCHAUMBURG	96	79	SCHAUMBURG (79)	\$127,469.08
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$108,965.69
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$94,236.72
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$29,947.58
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$80,788.09
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$54,463.77
96	GLEN ELLYN NORTH	96	92	GLEN ELLYN NORTH (92)	\$62,324.08
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$62,447.43
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$150,183.73
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$101,610.55
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$50,007.28
REDACTED				GLEN ELLYN NORTH (92)	\$116,549.42
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$52,374.30
96	WESTMONT	96	126	NAPERVILLE (126)	\$106,064.97
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$322,252.23
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$48,963.30
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$28,397.59
96	LAGRANGE	96	126	NAPERVILLE (126)	\$71,966.03
		96	92	GLEN ELLYN NORTH (92)	\$430,209.88
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$91,606.39
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$39,092.95
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$106,763.10
96	LAGRANGE	96	126	NAPERVILLE (126)	\$46,243.21
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$444,623.66
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$26,151.61
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$72,787.27
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$42,075.21
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$50,708.47
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$51,715.11
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$122,130.98
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$72,721.50
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$44,352.78

REDACTED

96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$51,076.95
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$40,466.50
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$85,674.69
96	VILLAGE CROSSING	96	126	NAPERVILLE (126)	\$69,265.52
96	GLEN ELLYN SOUTH	96	3	GLEN ELLYN SOUTH (3)	\$43,069.53
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$129,436.87
96	GLEN ELLYN SOUTH	96	3	GLEN ELLYN SOUTH (3)	\$38,785.18
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$34,493.17
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$69,734.20
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$75,921.05
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$352,697.32
					\$26,404.43
					\$35,959.28
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$61,874.31
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$33,973.10
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$254,830.58
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$26,208.83
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$37,983.62
96	WILLOWBROOK	96	126	NAPERVILLE (126)	\$77,392.57
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$30,585.77
96	WESTMONT	96	66	WESTMONT (66)	\$47,736.52
96	HINSDALE-YORK RD	96	203	HINSDALE-YORK RD (203)	\$29,943.77
96	ORLAND PARK	96	126	NAPERVILLE (126)	\$58,971.05
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$88,640.47
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$197,151.00
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$47,690.56
96	WARRENVILLE	96	230	WARRENVILLE (230)	\$90,267.83
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$100,785.55
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$91,938.42
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$275,909.50
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$542,383.96
		96	52	LISLE MAIN (52)	\$59,413.66
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$154,135.91
96	GREEKTOWN	96	237	GREEKTOWN (237)	\$348,521.00
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$64,106.64
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$399,354.28
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$214,322.29
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$34,078.90
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$21,070.63

90	NAPERVILLE	90	120	NAPERVILLE (120)	\$81,079.65
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$79,515.46
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$280,654.40
96	ELMHURST SOUTH	96	52	LISLE MAIN (52)	\$209,847.91
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$47,740.59
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$205,606.76
96	PLAINFIELD	96	126	NAPERVILLE (126)	\$244,557.24
96	HINSDALE-YORK RD	96	203	HINSDALE-YORK RD (203)	\$25,461.63
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$55,572.80
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$908,951.49
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$26,957.09
96	CUMBERLAND	96	79	SCHAUMBURG (79)	\$64,262.91
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$44,902.12
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$248,419.91
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$458,603.22
96	BEDFORD PARK	96	126	NAPERVILLE (126)	\$51,575.85
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$256,942.16
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$60,747.67
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$29,397.63
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$119,161.00
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$78,547.85
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$414,877.21
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$163,355.92
		96	79	SCHAUMBURG (79)	\$25,746.88
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$50,039.94
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$1,317,750.15
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$30,421.55
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$109,126.71
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$86,241.18
96	LAGRANGE	96	126	NAPERVILLE (126)	\$44,778.37
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$50,387.91
96	NAPERVILLE DOWNTOWN	96	235	NAPERVILLE DOWNTOWN (235)	\$29,805.77
96	NAPERVILLE	96	210	NAPERVILLE SOUTH (210)	\$482,981.96
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$138,427.74
96	WILLOWBROOK	96	126	NAPERVILLE (126)	\$200,243.24
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$208,773.47
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$304,812.43
96	WESTMONT	96	66	WESTMONT (66)	\$28,340.75

REDACTED

96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$31,884.46
96	LAGRANGE	96	126	NAPERVILLE (126)	\$129,784.30
96	MONROE STREET	96	3	GLEN ELLYN SOUTH (3)	\$71,354.21
96	LAGRANGE	96	126	NAPERVILLE (126)	\$344,178.49
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$29,471.62
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$25,714.59
96	LAGRANGE	96	126	NAPERVILLE (126)	\$74,972.27
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$34,566.40
96	WESTMONT	96	126	NAPERVILLE (126)	\$163,198.93
96	PLAINFIELD	96	221	PLAINFIELD (221)	\$375,583.64
96	NAPERVILLE	96	210	NAPERVILLE SOUTH (210)	\$358,496.04
96	PALOS HILLS	96	126	NAPERVILLE (126)	\$119,990.70
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$376,925.43
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$62,217.82
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$62,393.76
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$82,977.46
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$71,947.65
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$273,053.92
		96	52	LISLE MAIN (52)	\$60,600.54
REDACTED					
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$95,462.36
96	LAGRANGE	96	126	NAPERVILLE (126)	\$184,166.92
96	WILLOWBROOK	96	126	NAPERVILLE (126)	\$29,432.91
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$102,644.58
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$96,647.09
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$72,038.38
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$242,879.44
		96	92	GLEN ELLYN NORTH (92)	\$86,646.96
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$405,307.67
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$96,179.34
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$31,724.17
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$39,101.07
96	LAGRANGE	96	126	NAPERVILLE (126)	\$296,526.62
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$34,713.86
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$63,985.89
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$25,711.34
96	NAPERVILLE SOUTH MOUNT PROSPECT	96	210	NAPERVILLE SOUTH (210)	\$97,209.02
96	II/GOLF	96	126	NAPERVILLE (126)	\$70,208.26
				NAPERVILLE	

		96	235	DOWNTOWN (235)	\$114,857.68
	96	NAPERVILLE	96	126	NAPERVILLE (126) \$43,307.80
	96	GLEN ELLYN SOUTH	96	3	GLEN ELLYN SOUTH (3) \$89,055.10
	96	NAPERVILLE	96	126	NAPERVILLE (126) \$60,411.57
	96	NAPERVILLE	96	126	NAPERVILLE (126) \$195,478.15
	96	NAPERVILLE	96	126	NAPERVILLE (126) \$209,040.22
	96	NAPERVILLE DOWNTOWN	96	235	NAPERVILLE DOWNTOWN (235) \$292,616.24
	96	NAPERVILLE	96	126	NAPERVILLE (126) \$64,935.96
	96	HINSDALE-YORK RD	96	126	NAPERVILLE (126) \$105,460.63
	96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210) \$57,064.17
	96	NAPERVILLE	96	126	NAPERVILLE (126) \$511,856.66
	96	HINSDALE-YORK RD	96	126	NAPERVILLE (126) \$26,519.21
	96	NAPERVILLE	96	126	NAPERVILLE (126) \$326,155.28
	96	NAPERVILLE	96	126	NAPERVILLE (126) \$290,793.29
	96	NAPERVILLE	96	126	NAPERVILLE (126) \$120,613.19
	96	NAPERVILLE DOWNTOWN	96	235	NAPERVILLE DOWNTOWN (235) \$234,453.08
	96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210) \$157,891.79
	96	HINSDALE-YORK RD	96	126	NAPERVILLE (126) \$43,260.67
REDACTED	96	HINSDALE-YORK RD	96	126	NAPERVILLE (126) \$577,469.22
	96	HINSDALE-YORK RD	96	126	NAPERVILLE (126) \$195,761.13
	96	NAPERVILLE	96	126	NAPERVILLE (126) \$42,065.29
	96	NAPERVILLE	96	126	NAPERVILLE (126) \$46,047.76
	96	LAGRANGE	96	126	NAPERVILLE (126) \$387,853.50
	96	HINSDALE-YORK RD	96	126	NAPERVILLE (126) \$100,534.28
	96	HINSDALE-YORK RD	96	126	NAPERVILLE (126) \$27,052.95
	96	NAPERVILLE	96	126	NAPERVILLE (126) \$46,690.48
	96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210) \$161,693.89
	96	HINSDALE-YORK RD	96	126	NAPERVILLE (126) \$8,470,690.96
	96	HINSDALE-YORK RD	96	126	NAPERVILLE (126) \$106,047.23
	96	NAPERVILLE	96	126	NAPERVILLE (126) \$993,930.83
	96	NAPERVILLE	96	126	NAPERVILLE (126) \$265,417.21
	96	HINSDALE-YORK RD	96	126	NAPERVILLE (126) \$149,712.01
	96	NAPERVILLE	96	126	NAPERVILLE (126) \$117,555.35
	96	NAPERVILLE DOWNTOWN	96	235	NAPERVILLE DOWNTOWN (235) \$75,966.07
	96	NAPERVILLE DOWNTOWN	96	235	NAPERVILLE DOWNTOWN (235) \$75,966.07
	96	NAPERVILLE DOWNTOWN	96	235	NAPERVILLE DOWNTOWN (235) \$226,775.10
	96	NAPERVILLE DOWNTOWN	96	235	NAPERVILLE DOWNTOWN (235) \$75,966.56
	96	LAGRANGE	96	126	NAPERVILLE (126) \$61,415.45

	96	NAPERVILLE	96	126	NAPERVILLE (126)	\$53,986.85
	96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$329,232.62
	96	LAGRANGE	96	126	NAPERVILLE (126)	\$99,475.02
	96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$82,556.20
	96	NAPERVILLE	96	126	NAPERVILLE (126)	\$71,288.40
	96	NAPERVILLE	96	126	NAPERVILLE (126)	\$78,198.21
	96	NAPERVILLE	96	126	NAPERVILLE (126)	\$104,619.21
	96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$63,089.30
	96	NAPERVILLE	96	126	NAPERVILLE (126)	\$55,003.30
REDACTED	96	NAPERVILLE	96	126	NAPERVILLE (126)	\$150,737.58
			96	92	GLEN ELLYN NORTH (92)	\$179,270.38
	96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$44,397.34
	96	NAPERVILLE DOWNTOWN	96	235	NAPERVILLE DOWNTOWN (235)	\$90,000.00
	96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$290,707.12
	96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$29,275.20
	96	NAPERVILLE	96	126	NAPERVILLE (126)	\$70,398.76
	96	WESTMONT	96	66	WESTMONT (66)	\$1,161,445.01
	96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$46,647.05

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Pandia

DAVID SWANSON (sign out)

Current Book of Business: DAVID SWANSON (KTS)

> Client Detail

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Javascript Error

Your browser does not support Javascript. You must enable Javascript for this application to function properly.

Please enable Javascript and refresh this application.

REDACTED

LaSalle Financial Services Client Service

TAX ID NUMBER	HOME BRANCH	KINSDALE-YORK RD (203)
HOME PHONE	SERVICING BRANCH	NAPERVILLE (126)
WORK PHONE	SERVICING PC	DAVID SWANSON
BIRTH DATE	PC ASSIGNED TO CLIENT	N/A (At Least 6 years & months)
AGE	PREVIOUS PC	
PROFILE NAME:		Last Modified: May 6, 2003 Account Title:
UNTITLED PROFILE - 5/6/2003		
PROFILE-PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APROX ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

REDACTED

PROVIDER/ACCOUNT NUMBER	ACCOUNT NUMBER	MARKET VALUE	
		TRANSACTIONS - POSITIONS	\$60,131.24
TOTAL			\$60,131.24
<input checked="" type="checkbox"/> CLOSED ACCOUNTS			\$0.00
TOTAL			\$0.00
GRAND TOTAL			\$60,131.24

Pandia

DAVID SWANSON (sign out)

Current Book of Business: DAVID SWANSON (KTS)

> Client Detail

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REDACTED

LaSalle Financial Services Client Status

SOCIAL SECURITY #	HOME BRANCH	HINSDALE-YORK RD (213)
HOME PHONE	SERVICING BRANCH	HAZELWOOD (126)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	NA (At Least 0 years & months)
AGE	PREVIOUS FC	
PROFILE NAME	Last Modified	May 1, 2006
Main Scenario - 5/1/2006	Actual Title	
PROFILE PERSON ID	OCCUPATION	Senior VP Control
MARITAL STATUS	EMPLOYER	
APROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

PROVIDER / ACCOUNT NUMBER	ACCOUNT LINES	MARKET VALUE
<input checked="" type="checkbox"/> JACKSON NATIONAL LIFE	TRANSACTIONS - POSITIONS	\$12,759.84
TOTAL		\$12,759.84
<input checked="" type="checkbox"/> NATIONWIDE LIFE INSURANCE	TRANSACTIONS - POSITIONS	\$39,140.07
TOTAL		\$39,140.07
<input checked="" type="checkbox"/> NFS	TRANSACTIONS - POSITIONS	\$184,862.34
	TRANSACTIONS - POSITIONS	\$42,530.29
	TRANSACTIONS - POSITIONS	\$34,090.97
	TRANSACTIONS - POSITIONS	\$10,617.55
	TRANSACTIONS - POSITIONS	\$7,280.82
	TRANSACTIONS - POSITIONS	\$2,194.25
	TRANSACTIONS - POSITIONS	\$2,194.25
TOTAL		\$283,770.49
GRAND TOTAL		\$335,670.40

REDACTED

REDACTED

Pandia

DAVID SWANSON (sign out)

Current Book of Business: DAVID SWANSON (KT8)

> Client Detail

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REDACTED

Status: Active

 (2) (0)

Locality Financial Services Client Setup		
SOCIAL SECURITY #	HOME BRANCH	HINSDALE YORK RD (203)
HOME PHONE	SERVICING BRANCH	NAFERVILLE (126)
WORK PHONE	SERVICING PC	DAVID SWANSON
BIRTH DATE	PC ASSIGNED TO CLIENT	NA (At Least 0 years 8 months)
AGE	PREVIOUS PC	
PROFILE NAME	Last Modified	February 7, 2005
Main Scenario - 2/7/2005	Account Title	
PROFILE-PERSON ID	OCCUPATION	Computer
MARITAL STATUS	EMPLOYER	
APROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	
	OBJECTIVE 3	

REDACTED

PORTFOLIO / ACCOUNT NUMBER	ACCOUNT LINES	MARKET VALUE
<input checked="" type="checkbox"/> PFB		
	TRANSACTIONS - POSITIONS	\$68,267.55
TOTAL		\$68,267.55
GRAND TOTAL		\$68,267.55

REDACTED

Pandia

DAVID SWANSON (sign out)

Current Book of Business: DAVID SWANSON (KT8)

> Client Detail

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REDACTED

Status: Active

 (35) (0)

LaSalle Financial Services Client Since *October 13, 2005*

SOCIAL SECURITY #	HOME BRANCH	NAPEVILLE (126)
HOME PHONE	SERVICING BRANCH	NAPEVILLE (126)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	PC ASSIGNED TO CLIENT	3 years 2 months
AGE	PREVIOUS FC	
PROFILE NAME	Last Modified	February 15, 2006
Main Scenario - 2/15/2006	Account Title	Adviser
PROFILE PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

PROVIDER / ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
<input checked="" type="checkbox"/> HARTFORD LIFE INSURANCE		
TOTAL	TRANSACTIONS - POSITIONS	\$98,185.15
		\$98,185.15
<input checked="" type="checkbox"/> NFS		
TOTAL	TRANSACTIONS - POSITIONS	\$183,236.70
		\$183,236.70
GRAND TOTAL		\$281,421.85

REDACTED

Pandia

DAVID SWANSON (sign out)

Current Book of Business: DAVID SWANSON (KT8)

> Client Detail

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REDACTED

LaSalle Financial Services Client Setup	
SOCIAL SECURITY #	HOME BRANCH HINSDALE YORK RD (203)
HOME PHONE	SERVICING BRANCH NAPERVILLE (126)
WORK PHONE	SERVICING FC DAVID SWANSON
BIRTH DATE	PC ASSIGNED TO CLIENT NVA (At Least 6 months & monthly)
AGE	PREVIOUS FC
PROFILE NAME: Main Scenario - 4/24/2006	
Last Modified: April 24, 2006 Account Title:	
PROFILE PERSON ID	OCCUPATION
MARITAL STATUS	EMPLOYER
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET
ESTIMATED NET WORTH	EST. LIQUID NET WORTH
RISK TOLERANCE	OBJECTIVE 1
TIME HORIZON	OBJECTIVE 2
	OBJECTIVE 3

REDACTED

REDACTED

SHOW CLOSED ACCOUNTS		
PROVIDER/ACCOUNT NUMBER	ACCOUNT LEVEL	MARKET VALUE
<input checked="" type="checkbox"/> JACKSON NATIONAL LIFE	TRANSACTIONS - POSITIONS	\$40,466.50
TOTAL		\$40,466.50
<input checked="" type="checkbox"/> CLOSED ACCOUNTS		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$40,466.50

Pandia

DAVID SWANSON (sign out)
 Current Book of Business: DAVID SWANSON (KTS)

> Client Detail

- Home Page
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REDACTED

Status: Active
<input checked="" type="checkbox"/> (4)
<input checked="" type="checkbox"/> (0)

LaSalle Financial Services Client Data March 26, 2005

SOCIAL SECURITY #	HOME BRANCH	LAGRANGE (136)
HOME PHONE	SERVICING BRANCH	NAPERVILLE (126)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	1 year & 8 months
AGE	PREVIOUS FC	
PROFILE NAME:	Last Modified	April 6, 2006
Main Scenario - 4/6/2006	Account Title	
PROFILE PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

SHOW CLOSED ACCOUNTS		
PROVIDER/ACCOUNT NUMBER	ACCOUNT LENS	MARKET VALUE
<input checked="" type="checkbox"/> NPS	TRANSACTIONS - POSITIONS	\$49,320.13
	TRANSACTIONS - POSITIONS	\$13,533.39
	TRANSACTIONS - POSITIONS	\$9,112.50
TOTAL		\$71,966.02
<input checked="" type="checkbox"/> CLOSED ACCOUNTS		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$71,966.02

REDACTED

Pandia

DAVID SWANSON (sign out)
Current Book of Business: DAVID SWANSON (KTS)

> Client Detail

14 Open Policy/Policy Items

- client focus
- documents
- report suite
- help
- print

REDACTED

LaSalle Financial Services Client Service February 9, 2006

SOCIAL SECURITY #	HOME BRANCH	NAPERVILLE SOUTH (210)
HOME PHONE	SERVICING BRANCH	NAPERVILLE SOUTH (210)
WORK PHONE	SERVICING PC	DAVID SWANSON
BIRTH DATE	PC ASSIGNED TO CLIENT	1 year 10 months
AGE	PREVIOUS PC	
PROFILE NAME	Last Modified:	February 9, 2006
American Funds - 2/27/2006	Account Title:	
PROFILE-PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

(36) (0)

SHOW CLOSED ACCOUNT(S)

REDACTED

PROVIDER/ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
<input checked="" type="checkbox"/> HARTFORD LIFE INSURANCE		
TOTAL	TRANSACTIONS - POSITIONS	\$46,767.48
		\$46,767.48
<input checked="" type="checkbox"/> NFS		
TOTAL	TRANSACTIONS - POSITIONS	\$59,995.62
		\$59,995.62
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)		
TOTAL		\$0.00
		\$0.00
GRAND TOTAL		\$106,763.10

REDACTED

Pandia

DAVID SWANSON (sign out)
 Current Book of Business: DAVID SWANSON (KT3)

> Client Detail

- [My Open PolicyKey Items](#)
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- [client focus](#)
- [documents](#)
- [report suite](#)
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REDACTED

LaSalle Financial Services Client Service

SOCIAL SECURITY #	HOME BRANCH	NAPERVILLE (126)
HOME PHONE	SERVICING BRANCH	NAPERVILLE (126)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	1 year
AGE	PREVIOUS FC	SERVICE BY LBE ONLY
PROFILE NAME:		Last Modified: July 9, 2007
UNTITLED PROFILE - 7/9/2007		Account Title:
PROFILE-PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

[SHOW CLOSED ACCOUNT\(S\)](#)

Provider/Account Number	Account Lines	Market Value
<input checked="" type="checkbox"/> HARTFORD LIFE INSURANCE	TRANSACTIONS - POSITIONS	\$44,169.10
TOTAL		\$44,169.10
<input type="checkbox"/> NFS	TRANSACTIONS - POSITIONS	\$35,346.35
TOTAL		\$35,346.35
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)	TRANSACTIONS - POSITIONS	\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$79,515.46

REDACTED**REDACTED**

Pandia

DAVID SWANSON (sign out)
Current Book of Business: DAVID SWANSON (KT8)
[] > Client Detail

- 14. Open Preference Items
with/without navigation
 - client focus
 - documents
 - report state
 - help
 - print

REDACTED

LaSalle Financial Services Client Since			
SOCIAL SECURITY #	HOME BRANCH	NAPERVILLE (126)	
HOME PHONE	SERVICING BRANCH	NAPERVILLE (126)	
WORK PHONE	SERVICING PC	DAVID SWANSON	
BIRTH DATE	PC ASSIGNED TO CLIENT	1 year	
AGE	PREVIOUS PC	SERVICE BY LEE ONLY	
PROFILE NAME: UNTITLED PROFILE - 7/9/2007		Last Modified: July 9, 2007 Account Title:	
PROFILE-PERSON ID	OCCUPATION		
MARITAL STATUS	EMPLOYER		
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET		
ESTIMATED NET WORTH	EST. LIQUID NET WORTH		
RISK TOLERANCE	OBJECTIVE 1		
TIME HORIZON	OBJECTIVE 2		

REDACTED

PROVIDER / ACCOUNT NUMBER	ACCOUNT LINES	MARGIN VALUE
<input checked="" type="checkbox"/> HARTFORD LIFE INSURANCE		
TOTAL	TRANSACTIONS - POSITIONS	\$44,169.10
		\$44,169.10
<input checked="" type="checkbox"/> NFS		
TOTAL	TRANSACTIONS - POSITIONS	\$35,346.35
		\$15,346.35
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)		
TOTAL		\$0.00
GRAND TOTAL		\$79,515.46

REDACTED

Pandia

DAVID SWANSON (sign out)

Current Book of Business: DAVID SWANSON (KTS)

> Client Detail

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REDACTED

LaSalle Financial Services Client Service

SOCIAL SECURITY #	HOME BRANCH	NAVERVILLE (126)
HOME PHONE	SERVICING BRANCH	NAVERVILLE (126)
WORK PHONE	SERVICING PC	DAVID SWANSON
BIRTH DATE	PC ASSIGNED TO CLIENT	2 years 10 months
AGE	PREVIOUS PC	
PROFILE NAME:	Last Modified:	November 25, 2003
Main Scenario - 11/25/2003	Account Title:	
PROFILE PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APROX ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

PROVIDER/ACCOUNT NUMBER	ACCOUNT LINES	MARKET VALUE
<input checked="" type="checkbox"/> HARTFORD LIFE INSURANCE	TRANSACTIONS - POSITIONS	\$162,443.23
TOTAL		\$162,443.23
<input checked="" type="checkbox"/> NFS	TRANSACTIONS - POSITIONS	\$118,211.16
TOTAL		\$118,211.16
GRAND TOTAL		\$280,654.40

REDACTED

Pandia

DAVID SWANSON (sign out)

Current Book of Business: DAVID SWANSON (KT8)

> Client Detail

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REDACTED

LaSalle Financial Services Client Slice

SOCIAL SECURITY #	HOME BRANCH	HINSDALE-YORK RD (203)
HOME PHONE	SERVICING BRANCH	NAPERVILLE (126)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT NA (<i>At least 3 years & 8 months</i>)	
ACC		
PROFILE NAME	Last Modified:	June 28, 2007
Main Scenario - 6/28/2007	Account Title:	
PROFILE-PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

[SHOW CLOSED ACCOUNT\(S\)](#)

PROVIDER/ACCOUNT NUMBER	ACCOUNT LEVEL	MARKET VALUE
<input checked="" type="checkbox"/> GENWORTH FINANCIAL	TRANSACTIONS - POSITIONS	\$34,347.90
	TOTAL	\$34,347.90
<input checked="" type="checkbox"/> NFS	TRANSACTIONS - POSITIONS	\$146,941.24
	TRANSACTIONS - POSITIONS	\$111,092.34
	TRANSACTIONS - POSITIONS	\$12,430.04
TOTAL		\$270,464.63
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)		\$0.00
		\$0.00
GRAND TOTAL		\$304,812.43

REDACTED

Pandia

DAVID SWANSON (sign out)
Current Book of Business: DAVID SWANSON (KTS)

> Client Detail

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REDACTED

LaSalle Financial Services Client Suite

SOCIAL SECURITY #	HOME BRANCH	NAPERVILLE (126)
HOME PHONE	SERVICING BRANCH	NAPERVILLE (126)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	PC ASSIGNED TO CLIENT	7 years 6 months
AGE	PREVIOUS FC	JOHN KUHL
PROFILE NAME:	Last Modified:	October 21, 2006
New Kuhl - 10/21/2006	Account Title:	
PROFILE-PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

[SHOW CLOSED ACCOUNT\(S\)](#)

CLIENT/ACCOUNT NUMBER	ACCOUNT LISTS	MARKET VALUE
<input checked="" type="checkbox"/> FRANKLIN/TEMPLETON DIST., INC.	TRANSACTIONS - POSITIONS	\$122,859.97
	TRANSACTIONS - POSITIONS	\$93,794.35
	TRANSACTIONS - POSITIONS	\$86,218.34
	TRANSACTIONS - POSITIONS	\$72,451.07
TOTAL		\$375,321.95
<input checked="" type="checkbox"/> NFS	TRANSACTIONS - POSITIONS	\$83,279.27
TOTAL		\$83,279.27
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)		
TOTAL		\$0.00
GRAND TOTAL		\$458,603.21

REDACTED

Pandia

DAVID SWANSON ([sign out](#))
Current Book of Business: [DAVID SWANSON \(KTS\)](#)

> Client Detail

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REDACTED

LaSalle Financial Services Client Service December 14, 2005

SOCIAL SECURITY #		HOME BRANCH	NAFREVILLE (126)
HOME PHONE	WORK PHONE	SERVICING BRANCH	NAFREVILLE (126)
BIRTH DATE	AGE	SERVICING FC	DAVID SWANSON
PROFILE NAME		PC ASSIGNED TO CLIENT	19401
Main Scenario - 9/6/2007		PREVIOUS PC	
PROFILE PERSON ID	MARITAL STATUS	Last Modified	September 6, 2007
APROX ANNUAL INCOME	ESTIMATED NET WORTH	Account Title	
ESTIMATED NET WORTH	RISK TOLERANCE	OCCUPATION	
RISK TOLERANCE	TIME HORIZON	EMPLOYER	
TIME HORIZON		FEDERAL TAX BRACKET	
		EST. LIQUID NET WORTH	
		OBJECTIVE 1	
		OBJECTIVE 2	

REDACTED

PROVIDER / ACCOUNT NUMBER	ACCOUNT LEVELS	MARKET VALUE
NFS	TRANSACTIONS - POSITIONS	\$32,677.19
TOTAL		\$32,677.19
GRAND TOTAL		\$32,677.19

REDACTED

Pandia

DAVID SWANSON (sign out)

Current Book of Business: DAVID SWANSON (KTS)

> Client Detail

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REDACTED

[x]

LaSalle Financial Services Client Service

SOCIAL SECURITY #	HOME BRANCH	HINSDALE-YORK RD (203)
HOME PHONE	SERVICING BRANCH	NAIROVILLE (126)
WORK PHONE	SERVICING PC	DAVID SWANSON
BIRTH DATE	PC ASSIGNED TO CLIENT #4 (At Least 6 months)	
AGE	PREVIOUS PC	
PROFILE NAME	Last Modified:	August 4, 2005
Main Scenario - 8/4/2005	Account Title:	
PROFILE-PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

[x]

[SHOW CLOSED ACCOUNT\(S\)](#)

REDACTED

Provider / Account Number	Account Links	Market Value
<input checked="" type="checkbox"/> KEMPER INVESTORS LIFE		
TOTAL	TRANSACTIONS - POSITIONS	\$72,781.64
		\$72,781.64
<input checked="" type="checkbox"/> NFS		
TOTAL	TRANSACTIONS - POSITIONS	\$190,062.72
	TRANSACTIONS - POSITIONS	\$59,407.86
		\$249,478.58
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)		
TOTAL		\$0.00
		\$0.00
GRAND TOTAL		\$332,242.13

[x]

REDACTED

Pandia

DAVID SWANSON ([sign out](#))
 Current Book of Business: DAVID SWANSON (KT3)
 > CLIENT FOCUS > CLIENT SEARCH

- [show/hide navigation](#)
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CREATE CLIENT LIST WHERE ..

SSN / TAXID	<input type="text"/> is equal to <input type="text"/>	<input type="button" value="SEARCH"/>
OR		
CLIENT NAME	<input type="text"/> starts with <input type="text"/>	<input type="text"/> FIRST NAME <input type="text"/>
LAST NAME	<input type="text"/>	<input type="text"/>
ADDRESS		
ADDRESS	<input type="text"/> contains <input type="text"/>	<input type="text"/> STREET ADDRESS <input type="text"/>
	<input type="text"/> is equal to <input type="text"/>	<input type="text"/> CITY <input type="text"/> STATE <input type="text"/> ZIP CODE
PHONE NUMBER	<input type="text"/> is equal to <input type="text"/>	<input type="text"/>
CLIENTS' INTERESTS		
ASSET VALUE	<input type="text"/> is greater than <input type="text"/>	<input type="text"/> include <input type="text"/>
	<input type="text"/>	<input type="text"/> 12/5/2007
SERVICING BRANCH		
SERVICING BRANCH	<input type="text"/> ANY BRANCH <input type="text"/>	<input type="text"/>
INVESTS IN PRODUCT	<input type="text"/> CUSIP OR SYMBOL <input type="text"/>	<input type="text"/>
ACCOUNT TYPE	<input type="text"/> ANY TYPE <input type="text"/>	<input type="text"/>
HAS ACCOUNT WITH	<input type="text"/> ANY PROVIDER <input type="text"/>	<input type="text"/>
<input type="checkbox"/> INCLUDE DECEASED CLIENTS <input type="button" value="SEARCH"/>		
OR		
MOST RECENT SEARCHES <input type="button" value="SEARCH"/>		

Pandia

DAVID SWANSON (sign out)

Current Book of Business: DAVID SWANSON (KT8)

> Client Detail

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REDACTED

LaSalle Financial Services Client Since: July 14, 2003

SOCIAL SECURITY #	HOME BRANCH NAPERVILLE SOUTH (210)
HOME PHONE	SERVICING BRANCH NAPERVILLE SOUTH (210)
WORK PHONE	SERVICING FC DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT 1 year 5 months
AGE	PREVIOUS FC
PROFILE NAME	
Main Scenario - 9/20/2005	
PROFILE-PERSON ID	OCCUPATION
MARITAL STATUS	EMPLOYER
APROX. ANNUAL INCOME	FEDERAL TAX BRACKET
ESTIMATED NET WORTH	EST. LIQUID NET WORTH
RISK TOLERANCE	OBJECTIVE 1
TIME HORIZON	OBJECTIVE 2

SHOW CLOSED ACCOUNTS

REDACTED

Provider/Account Number	Account Lines	Market Value
<input checked="" type="checkbox"/> AIG SUN AMERICA	TRANSACTIONS - POSITIONS	\$61,369.90
TOTAL		\$61,369.90
<input checked="" type="checkbox"/> GENWORTH FINANCIAL	TRANSACTIONS - POSITIONS	\$89,417.08
TOTAL		\$89,417.08
<input checked="" type="checkbox"/> NFS	TRANSACTIONS - POSITIONS	\$63,535.30
TOTAL		\$63,535.30
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$214,322.28

REDACTED

Pandia

DAVID SWANSON (sign out)
Current Book of Business: DAVID SWANSON (KT8)

> Client Detail

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REDACTED

Lazelle Financial Services Client Service April 23, 2006

SOCIAL SECURITY #	HOME BRANCH	HINSDALE-YORK RD (203)
HOME PHONE	SERVICING BRANCH	HINSDALE-YORK RD (203)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	1 year 7 months
AGE	PREVIOUS FC	
PROFILE NAME	Last Modified:	May 18, 2006
Main Scenario - 5/18/2006	Account Title:	
PROFILE PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

Provider / Account Number	Account Level	Market Value
<input checked="" type="checkbox"/> NFS		
	TRANSACTIONS - POSITIONS	\$14,449.30
	TRANSACTIONS - POSITIONS	\$11,012.33
TOTAL		\$25,461.63
GRAND TOTAL		\$15,461.63

REDACTED

Pandia

DAVID SWANSON (sign out)
 Current Book of Business: DAVID SWANSON (KT3)

> Client Detail

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REDACTED

Laddie Financial Services Client Service

SOCIAL SECURITY #	HOME BRANCH	HINSDALE-YORK RD (203)
HOME PHONE	SERVICING BRANCH	NAPERVILLE (126)
WORK PHONE	SERVICING PC	DAVID SWANSON
BIRTH DATE	PC ASSIGNED TO CLIENT NA (At Least 6 years 8 months)	
AGE	PREVIOUS PC	
PROFILE NAME	Last Modified	February 7, 2003
Leonard Brokerage (F - 2/7/2005)		Account Title
PROFILE-PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

SHOW CLOSED ACCOUNT(S)

PROVIDER/ACCOUNT NUMBER	ACCOUNT TYPE	MARKET VALUE
<input checked="" type="checkbox"/> ING	TRANSACTIONS - POSITIONS	\$63,771.44
TOTAL		\$63,771.44
<input checked="" type="checkbox"/> NATIONWIDE LIFE INSURANCE	TRANSACTIONS - POSITIONS	\$70,042.60
TOTAL		\$70,042.60
<input checked="" type="checkbox"/> NFS	TRANSACTIONS - POSITIONS	\$112,981.60
TOTAL	TRANSACTIONS - POSITIONS	\$1,624.26
		\$114,605.87
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$248,419.91

REDACTED

Pandia

DAVID SWANSON (sign out)
 Current Book of Business: DAVID SWANSON (KT8)

> Client Detail

14 Day Retention Period

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REDACTED

LaSalle Financial Services Client Sheet

SOCIAL SECURITY #	HOME BRANCH	WESTMONT (66)
HOME PHONE	SERVICING BRANCH	NAPERVILLE (126)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT #A (At Least 6 years & months)	
AGE	PREVIOUS FC	
PROFILE NAME:	Last Modified:	July 10, 2007
Main Scenario - 7/10/2007	Account Title:	
PROFILE-PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	
	OBJECTIVE 3	

REDACTED

SHOW CLOSER ACCOUNT(S)

PROVIDER/ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
<input checked="" type="checkbox"/> HARTFORD LIFE INSURANCE		
	TRANSACTIONS - POSITIONS	\$37,183.93
TOTAL		\$37,183.93
<input checked="" type="checkbox"/> NFS		
	TRANSACTIONS - POSITIONS	\$102,914.40
	TRANSACTIONS - POSITIONS	\$23,100.59
TOTAL		\$126,015.00
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)		
TOTAL		\$0.00
GRAND TOTAL		\$163,198.93

REDACTED

Pandia

DAVID SWANSON (sum out)

Current Book of Business: DAVID SWANSON (KT8)

> Client Detail

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REDACTED

Last 12 Months of Services Client Status		
SOCIAL SECURITY #	HOME BRANCH	NAUERVILLE (126)
HOME PHONE	SERVICING BRANCH	NAUERVILLE (126)
WORK PHONE	SERVICING PC	DAVID SWANSON
BIRTH DATE	PC ASSIGNED TO CLIENT	1 year 6 months
AGE	PREVIOUS PC	SERVICE BY LBE ONLY
PROFILE NAME:	Last Modified:	October 3, 2007
Nationwide VA - 10/3/2007	Account Title:	
PROFILE-PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

PROVIDER / ACCOUNT NUMBER		ACCOUNT LEVEL	MARKET VALUE
<input checked="" type="checkbox"/> HARTFORD LIFE INSURANCE		TRANSACTIONS - POSITIONS	\$84,787.60
		TRANSACTIONS - POSITIONS	\$77,019.86
TOTAL			\$161,807.46
<input checked="" type="checkbox"/> JOHN HANCOCK LIFE INSURANCE		TRANSACTIONS - POSITIONS	\$102,488.63
TOTAL			\$102,488.63
<input checked="" type="checkbox"/> NATIONWIDE LIFE INSURANCE		TRANSACTIONS - POSITIONS	\$112,629.33
TOTAL			\$112,629.33
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)			\$0.00
TOTAL			\$0.00
GRAND TOTAL			\$376,925.43

REDACTED

Pandia

DAVID SWANSON (sign out)
Current Book of Business: DAVID SWANSON (KT8)

> Client Detail

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REDACTED

Latitude Financial Services Client Stock

SOCIAL SECURITY #	HOME BRANCH	NAPEVILLE (126)
HOME PHONE	SERVICING BRANCH	NAPEVILLE (126)
WORK PHONE	SERVICING PC	DAVID SWANSON
BIRTH DATE	PC ASSIGNED TO CLIENT	1 year 6 months
AGE	PREVIOUS PC	SERVICE BY LBE ONLY
PROFILE NAME:	Last Modified:	June 15, 2006
Nationwide Variable - 6/15/2006	Account Title:	
PROFILE PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

SHOW CLOSED ACCOUNT(S)

PROVIDER/ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
<input checked="" type="checkbox"/> HARTFORD LIFE INSURANCE		
TOTAL	TRANSACTIONS - POSITIONS	\$133,943.96
		\$133,943.96
<input checked="" type="checkbox"/> NATIONWIDE LIFE INSURANCE		
TOTAL	TRANSACTIONS - POSITIONS	\$141,965.54
		\$141,965.54
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)		
TOTAL		\$0.00
		\$0.00
GRAND TOTAL		\$275,909.50

REDACTED

EXHIBIT O

LIB List

From: "Amy C Herbert" <Amy.Herbert@Planco.com>
To: "douglas.brymer" <douglas.brymer@abnamro.com>
Date: 11/9/2007 5:08:02 PM

Attachments: Client List for Douglas F Brymer.doc (25088 bytes),

Doug,

Per Adelaide's request, enclosed is your LIB client list.

If you need anything else, please let me know.

Thank you,

Amy C. Herbert
PLANCO / The Hartford
615-297-0981 Office
615-297-9394 Fax
amy.herbert@planco.com
www.hartfordinvestor.com

(See attached file: Client List for Douglas F Brymer.doc)

Client List for: Douglas F Brymer

Owner Name

Contract Number

Product Description

REDACTED

REDACTED